

WOMEN LEADERS IN Financial Services Law & Compliance

 **DECEMBER 4, 2020 EST**  **VIRTUAL CONFERENCE**

Keynote Address:



Sallie Krawcheck
CEO and Co-Founder
Ellevest

Network with Financial Services Industry Leaders from:

- Affirm
- Agricultural Bank of China
- Branch
- CapitalOne
- Shopify
- Marcus by Goldman Sachs
- PNC Bank
- Ribbit Capital

Join a truly remarkable group of **SENIOR LEVEL WOMEN EXECUTIVES** from the financial services industry for substantive legal updates along with great networking.

Discuss the Critical Issues Facing the Industry:

- **Future of Financial Services:** Anticipating How the Industry Will Evolve and Ensuring Your Organization is Poised to Succeed
- **Remote Workforce:** Lessons Learned During the Shutdown and Redefining the Future Workplace without Physical Walls
- **Regulatory Update:** Current Priorities for Financial Services Regulation, Enforcement and Compliance
- **Managing Your Increased Litigation Exposure:** Preparing Your Organization for the New Wave of Lawsuits after COVID-19
- **Compliance and Regulatory Trends:** How to Strengthen Your Compliance Program Amid Tremendous Change
- **Employment 2.0:** Rethinking Your Career Path in a Rapidly Changing World

THE ONLY FORUM FOR LAW & COMPLIANCE LEADERS IN THE FINANCIAL SERVICES INDUSTRY

Back by popular demand, this acclaimed conference brings together women in the financial services community to discuss professional opportunities and to foster communication between like-minded individuals about the key legal, compliance, regulatory, and enforcement developments impacting the financial services industry. Unlike other events, this agenda has been developed based on discussions with industry professionals and is designed to share real world experiences and lessons learned for leadership, advancement and success.

Why Take Time Out of the Office:

- Learn **leadership best practices** from C-level executives
- Participate in small group networking to **make new connections and build your network**
- **Discuss current challenges** facing the industry and **hear what is critical** for your organization to be successful
- Delve into the latest **legal and regulatory developments** affecting all facets of the industry
- Meet your industry counterparts and **expand your network**

We encourage you and your colleagues to register to reserve your seat early. **Early rates will expire soon.** We look forward to seeing you virtually in December.

WHO ARE WE?

This conference brings together industry representatives including in-house, private practice professionals, and government leaders from Banking, Insurance and Fintech industries with an emphasis on compliance, regulatory and transactional law perspectives. It provides the most conducive setting for networking and career development between legal compliance and business professionals.

OUR MISSION

ACI Women Leaders in Law series aims to promote diversity and increase the prominence of women in the legal community through substantive legal discussion, professional development and woman-to-woman networking.

“

What Past Attendees Have Said...

Unique balance between substantive legal topics and more soft skills presentations

Associate General Counsel, Visa

The open and frank discussion was great

Managing Director, Nuveen

Supportive group with relevant industry discussions

Vice President - Regulatory Affairs, Mizuho Americas LLC

”

SPEAKER LIST

Lucy Carlson

Deputy Chief

**U.S. Department of Justice
(Washington, DC)**



Shardo Caro del Castillo

Chief Legal Officer

Affirm (San Francisco, CA)



Sandrine A. Cousquer-Okasmaa

Vice President & Associate General Counsel, Strategic Partnerships Lead

**Marcus by Goldman Sachs
(New York, NY)**



Meredith Fuchs

Chief Regulatory Officer

CapitalOne (Washington, DC)



Jodi Golinsky

Associate General Counsel

Shopify (New York, NY)



Sallie Krawcheck

CEO and Co-Founder

Ellevest (New York, NY)



Katherine A. Lemire

Executive Deputy Superintendent - Consumer Protection and Financial Enforcement

New York State Department of Financial Services (New York, NY)



Sigal Mandelker

General Partner

Ribbit Capital

Former Under Secretary for Terrorism and Financial Intelligence, U.S. Department of the Treasury



Andrea Mitchell

Partner

**Mitchell Sandler LLC
(Washington, DC)**



Smitha Mortis

General Counsel

Branch (Washington, DC)



Ursula C. Pfeil

Director of Regulatory Reform and Managing Chief Counsel, Regulatory Affairs

**PNC Bank, N.A.
(Washington, DC)**



Lisa Prager

General Counsel, Executive Vice President

**Agricultural Bank of China
(New York, NY)**



Courtnee Smith

Vice President, Enterprise Supplier Management

Capital One (Washington, DC)

Continuing Legal Education Credits



Accreditation will be sought in those jurisdictions requested by the registrants which have continuing education requirements. This course is identified as nontransitional for the purposes of CLE accreditation. ACI certifies this activity has been approved for CLE credit by the New York State Continuing Legal Education Board.

ACI certifies this activity has been approved for CLE credit by the State Bar of California.

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8:30

Co-Chair Remarks



Jodi Golinsky
Associate General Counsel
Shopify (New York, NY)

8:45

Keynote Address



Sallie Krawcheck
CEO and Co-Founder
Ellevest (New York, NY)

9:30

The Future of Financial Services Industry: Anticipating How the Industry Will Evolve and Ensuring Your Organization is Poised to Succeed



Sandrine A. Cousquer-Okasmaa
Vice President & Associate
General Counsel, Strategic
Partnerships Lead
Marcus by Goldman Sachs
(New York, NY)



Meredith Fuchs
Chief Regulatory Officer
CapitalOne (Washington, DC)



Sigal Mandelker
General Partner
Ribbit Capital
Former Under Secretary for
Terrorism and Financial Intelligence,
U.S. Department of the Treasury

- Challenges experienced during the financial crisis
- Continued need to protecting customer privacy and personal data
- Trend away from brick & mortar and impact on real estate
- What is the future of lending?
- Rise in popularity of ESG
- Expectations for future industry consolidation
- Increased demand for robust online offerings

10:30 Networking Break

11:00

Remote Workforce: Lessons Learned During the Shutdown and Redefining the Future Workplace without Physical Walls



Jodi Golinsky
Associate General Counsel
Shopify (New York, NY)



Courtnee Smith
Vice President, Enterprise Supplier
Management
Capital One (Washington, DC)

- Social distancing is the new normal
- Lessons learned from working from home
- The importance of in-person meetings
- How to strengthen collaboration across your organization
- Impact of increased talent pool with remote workers
- How do you hire and integrate new employees?

12:00

Regulatory Update: Current Priorities for Financial Services Regulation, Enforcement and Compliance



Lucy Carlson
Deputy Chief
U.S. Department of Justice
(Washington, DC)



Andrea Mitchell – Moderator
Partner
Mitchell Sandler LLC
(Washington, DC)



Katherine A. Lemire
Executive Deputy Superintendent -
Consumer Protection and Financial
Enforcement
New York State Department of
Financial Services (New York, NY)

- Emerging areas of increased scrutiny on fraud, AML and sanctions
- Proposed regulation and areas of interest to monitor
- New investigations in both criminal and civil actions
- Mitigating risk of employees getting sick

1:00

Virtual Topic Discussions

Participants will have the opportunity to participate in small interactive discussions on the following topics:

- Privacy
- State Regulations Trends
- Future of FinTech
- Consumer Banking



2:00 Networking Break

2:30

Litigation Trends: Managing Your Increased Litigation Exposure: Preparing Your Organization for the New Wave of Lawsuits after COVID-19

- Rise in investigations, examination and reviews
- CARES, Paycheck protection loans fallout
- Class actions suits from customers
- Increased cybersecurity obligations including CCPA

3:30

Best Practices for Staying Compliance with the Latest Compliance and Regulatory Trends



Lisa Prager
*General Counsel,
 Executive Vice President*
Agricultural Bank of China
 (New York, NY)



Ursula C. Pfeil
*Director of Regulatory Reform
 and Managing Chief Counsel,
 Regulatory Affairs*
PNC Bank, N.A. (Washington, DC)

- Trends in AML, sanctions and financial crime
- Managing regulatory change and staying ahead of competing federal, state, and international laws
- Tips to strengthen your compliance program

4:15

Employment 2.0: Rethinking Your Career Path in a Rapidly Changing World



Smitha Mortis
General Counsel
Branch (Washington, DC)



Shardo Caro del Castillo
Chief Legal Officer
Affirm (San Francisco, CA)

- Evolving role of traditional financial services
- More opportunities in fintech and other innovative startups
- New priorities: family, home and flexible work arrangements
- Company size: do you want to work for a large organization or transition to a smaller one

5:15

Virtual Networking Reception

6:00

End of Conference

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 Institute

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C5

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Conference	\$895	\$995	\$1095

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Meeting Your Needs in Challenging Times

As the current global situation continues to unfold, we understand that it may not be possible to attend our events in person.

At the same time, we also understand that collaboration is more vital than ever and for that, you can still rely on ACI to bring the industry together *but* in a different way. We are transforming quickly to ensure you can now connect virtually and continue to gain unparalleled access to market leading intelligence and to the facilitation of a global exchange of expertise. Our new virtual events continue to be guided by our unifying philosophy: we believe that growth and success occurs when the power of people and the power of information come together. We may not be able to gather in person, but nothing stops connection and innovation.



Virtual Conference

Our virtual conferences are the same conferences you have come to expect in person but transformed to a virtual format. The new virtual conference delivers the same access to a community of industry leaders we have spent decades building and nurturing. We invite you to be part of this community.



Ask your questions directly to our expert faculty



Participate and contribute to sessions in real-time



Speak with solutions providers as you would at an onsite event

For more information and answers to many of your questions, please visit:
AmericanConference.com/WomenInFinance/VirtualConference